

An aerial photograph of a coastal region. A dark blue river flows from the top center towards the bottom left, eventually meeting the ocean. The surrounding land is a mix of green fields and brownish, possibly eroded or sandy, terrain. The sky is not visible, focusing the view on the land and water.

THURSTON

CLIMATE

ADAPTATION

PLAN

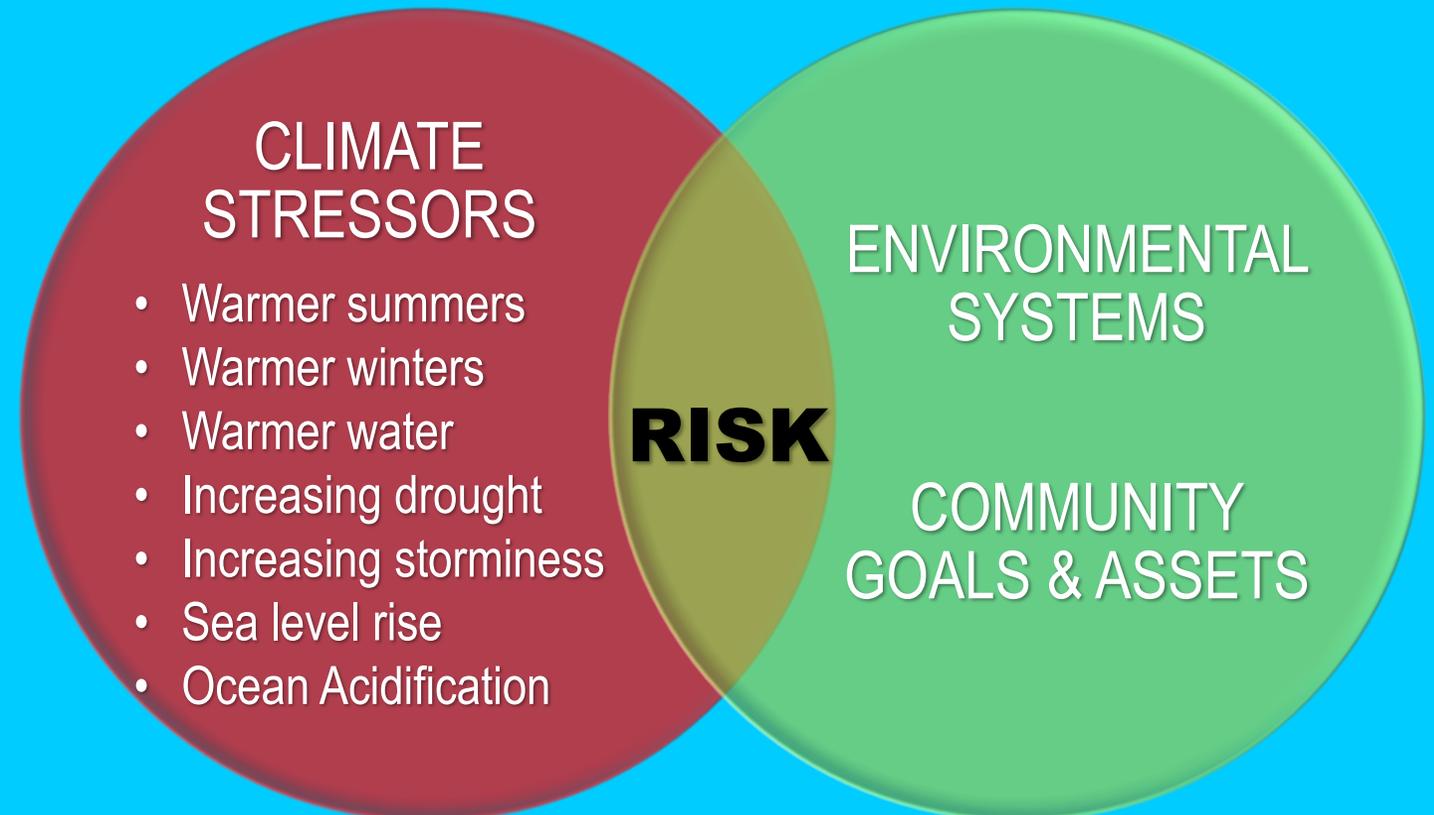
RISK ASSESSMENT

Methodology

WHAT IS RISK?

Risk –

The potential for loss, damage, disruption, or other impacts created by the interaction of climate change stressors with environmental systems and community goals and assets



Being Prepared for Climate Change
A Workbook for Developing
Risk-Based Adaptation Plans



WHAT CAN WE DO?

RISK CAN BE MANAGED

Climate change risks and hazards can be effectively managed by identifying, analyzing, evaluating, and mitigating them

A *Risk Assessment* is a tool that informs the development of a *Mitigation Strategy*

The Process

Risk Assessment

Overview

Conduct a Risk Assessment

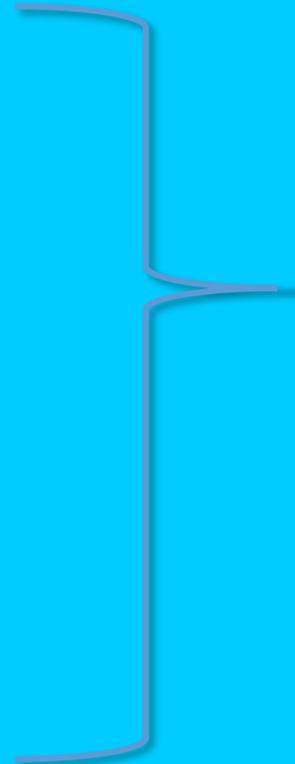
1. Establish Community Context
2. Risk Identification
3. Risk Analysis
4. Risk Rating
5. Review Community Capabilities
6. Risk Prioritization

Determine
Planning Area
and Resources

Build the Planning
Team

Conduct a Risk
Assessment

Develop a
Mitigation Strategy



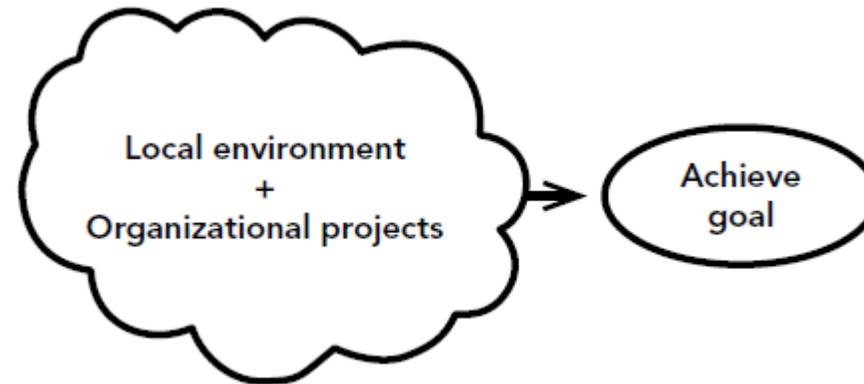
1. ESTABLISH COMMUNITY CONTEXT – GOAL IDENTIFICATION

- EPA's methodology: organizations' goals are fundamental unit for addressing risk
- Thurston Climate Adaptation Plan is a place-based planning process with diverse stakeholders
- Risk Assessment should include everything that affects goals and omit everything that has no effect on them
- *Sustainable Thurston goals* provides the baseline for the Risk Assessment
- Additional goals may be identified

2. RISK IDENTIFICATION

- Identify broad list of climate change risks that may adversely affect goal achievement
- Goals will be cross-tabulated with climate change stressors to identify risks

Business as usual...



Climate change stressor introduced to business as usual scenario...



7

Climate Change
Stressors

RISKS SORTED BY

- 1. Warmer Summers**
- 2. Warmer Winters**
- 3. Warmer Water**
- 4. Increasing Drought**
- 5. Increasing Storminess**
- 6. Sea Level Rise**
- 7. Ocean Acidification**

STRESSOR, RISK, GOAL

Goal – Protect and improve water quality, including groundwater, rivers, streams, lakes and Puget Sound

Climate change
stressor

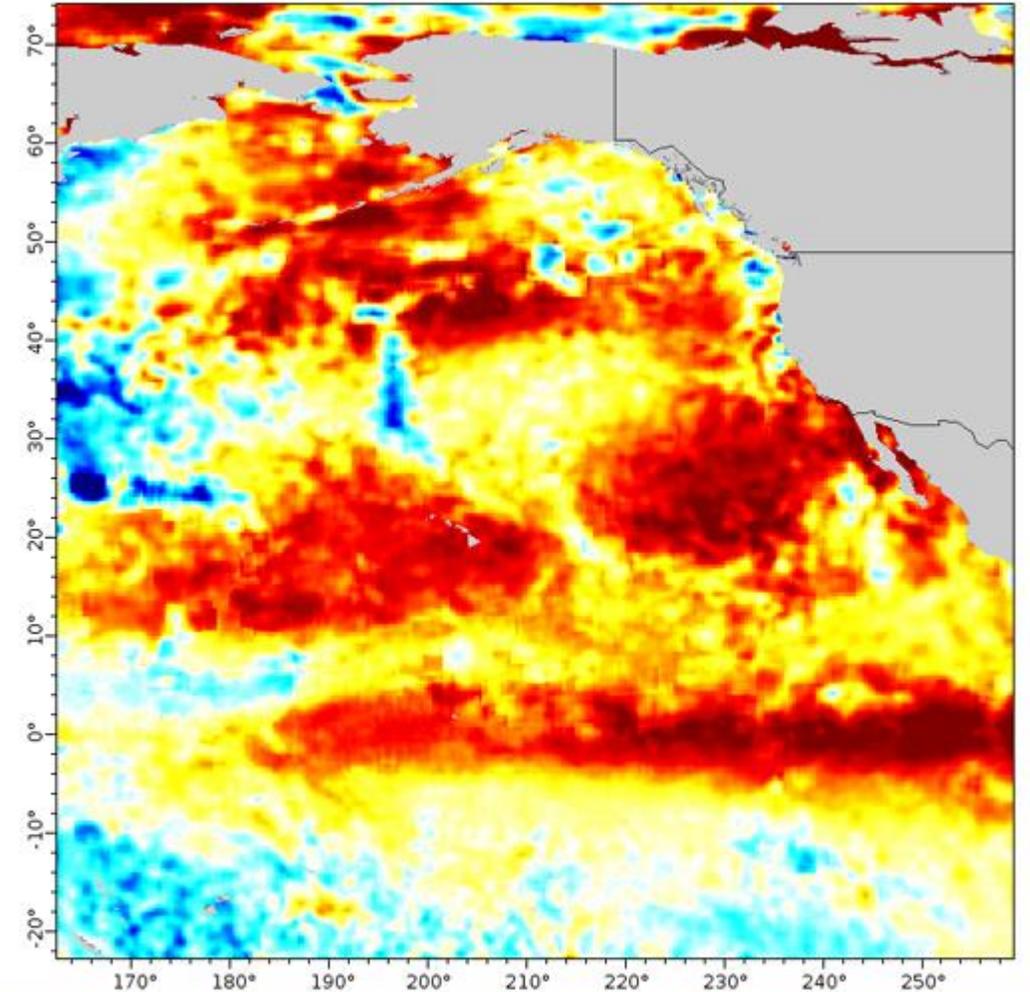
Paths

Unrealized goal

- ✓ Toxicity of pollutants may increase
- ✓ Water can hold less dissolved oxygen
- ✓ Greater algae growth may occur
- ✓ Parasites and bacteria have greater abundance, survival or transmission

Warmer water

~~Maintain
water
quality~~



Daily Sea Surface Temperature Anomalies (degree_C)
SST, Daily Optimum Interpolation (OI), AVHRR Only, Version 2, Final+Preliminary
(2015-09-08T00:00:00Z, Altitude=0.0 m)
Data courtesy of NOAA NCDC

RISK IDENTIFICATION

TABLE

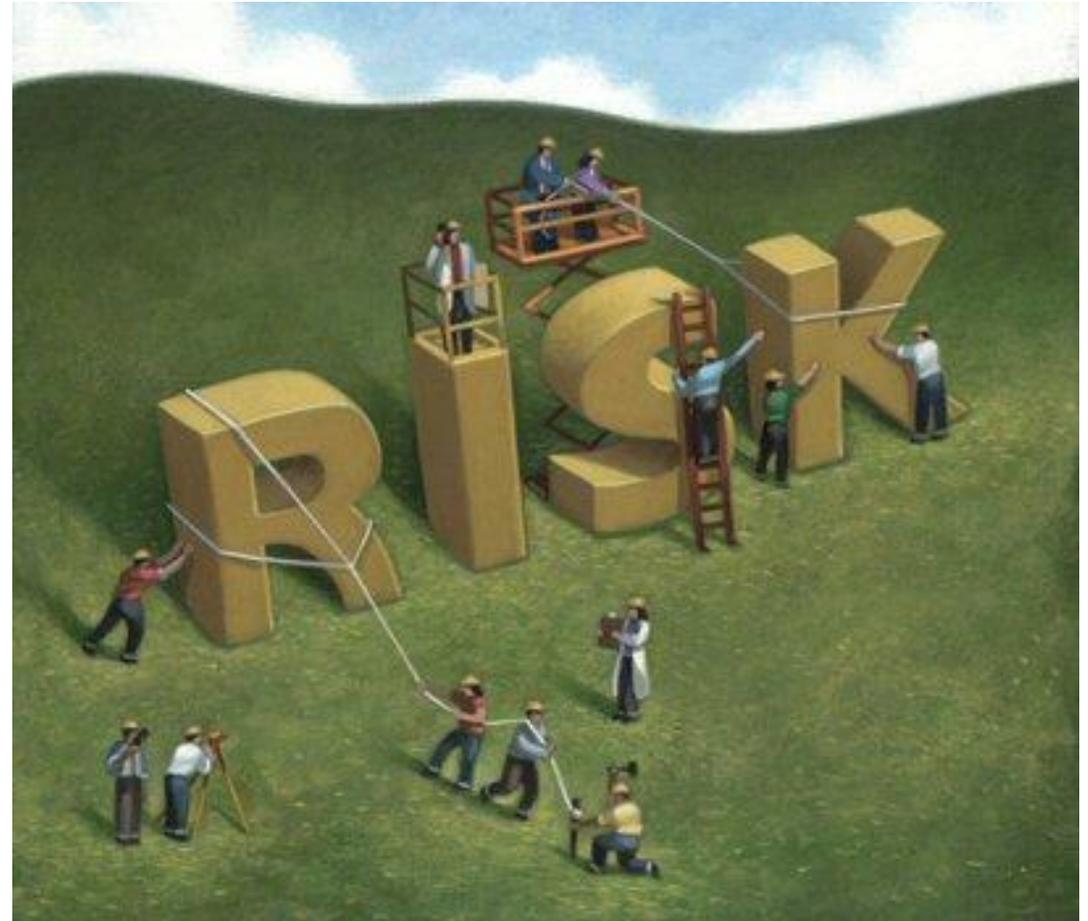
Plan Goals	Warmer Summer	Warmer Winter	Warmer Water	Increasing Drought	Increasing Storminess	Sea-Level Rise	Ocean Acidification
Goal 4: Protect and improve water quality, including groundwater, rivers, streams, lakes and Puget Sound	<ul style="list-style-type: none"> Decreases precipitation volume and raises water temperature of streams, lakes and Puget Sound, which degrades water quality and raises health risks (e.g., supports algal blooms) [Secs. 3.1 and 3.2, pgs. 32 and 45]. Decreases precipitation volume and groundwater recharge, which may raise pollutant concentrations in shallow wells [Sec. 3.4, pg. 51]; 		<ul style="list-style-type: none"> Causes exceedences of temperature criteria for fish in some stream reaches [Sec. 3.1, pg. 38]; Increases the toxicity of algal blooms and harms human health [pg. XX]; May make it harder for local governments to comply with state water-quality TMDL standards [Sec. 3.1, pg. 43] 	<ul style="list-style-type: none"> Reduces aquifer recharge and may spur more groundwater pumping when surface water is scarce, which could make coastal groundwater more vulnerable to saltwater intrusion [Sec. 3.4, pg. 49] and, raise the cost of pumping well water from greater depths [Sec. 3.4, pg. 53]. 	<ul style="list-style-type: none"> Increases the frequency and intensity of heaviest 24-hour rain events, which can increase volume of stormwater runoff [Sec. 2.3, pg. 27] and frequency and severity of floods [Sec. 6.2, pg. 79] and landslides [Sec. 6.2, pg. 81] that degrade water quality. 	<ul style="list-style-type: none"> Inundates former industrial sites, which may mobilize pollutants in the soil and degrade water quality [Sec. 4.1, pg. 57] 	<ul style="list-style-type: none"> Increases in ocean pH and temperature, coupled with terrestrial pollution could support spread of bacteria (Vibro) in shellfish and threaten human health [Sec. 4.2, pg. 65];

3. RISK ANALYSIS

Process of understanding a risk

For each risk in the Risk Identification Table, analyze the:

- Source or cause of risk
- Likelihood (probability) of occurrence
- Consequence
- Spatial scale of impact
- Timing – when will it occur?
- Habitat type



HIGH LEVEL DETERMINATIONS USING QUALITATIVE SCALES

CONSEQUENCE

Low

life goes on
not as important
adjustments easily made

Medium

High

major disruption
goal difficult or impossible to attain

LIKELIHOOD

Low

Medium

High

SPATIAL EXTENT

Site

a few waterfront lots
bridge
sewage treatment plant

Place or Region

community
state forest

Extensive

major disruption
goal difficult or impossible to attain

**HIGH LEVEL DETERMINATIONS USING
QUALITATIVE SCALES**

TIME HORIZON

More than 30 years

10-30 years

**Already occurring
(0-10 years)**

HABITAT TYPE

Marine

Freshwater

Prairie

Forest

GOAL

Protect and improve water quality, including groundwater, rivers, streams, lakes and Puget Sound

STRESSOR – Warmer Water

RISK– Warmer Water increases the toxicity of algal blooms and harms human health

CONSEQUENCE - Medium

LIKELIHOOD– High

SPATIAL EXTENT – Regional

TIME HORIZON – Already occurring



4. RISK RATING

Process of reaching consensus on the assessment of risks

Involves developing and reviewing consequence/probability matrices

- Each risk is mapped to a cell based on its low to high consequence and likelihood developed in the risk analysis
- Staff develops first iteration
- Stakeholders provide input and confirm ratings
- Informs which risks will be the focus of the action plan



CONSEQUENCE/ PROBABILITY MATRIX

A VISUALIZATION TOOL

Green Risks | Yellow Risks | Red Risks

The C/P matrix should become an agreed-on categorization of all foreseeable climate-change-related risks based on their likelihood of occurrence and consequence to the goals.

Likelihood (probability) of occurrence	High	<ul style="list-style-type: none"> 1. Warmer water may stress immobile biota 2. Warmer water may lead to changes in drinking water treatment processes n. _____	<ul style="list-style-type: none"> 1. Warmer water may hold less dissolved oxygen 2. Sea level rise may cause bulkheads, sea walls and revetments to become more widely adopted n. _____	<ul style="list-style-type: none"> 1. Shoreline erosion from sea level rise may lead to loss of beaches, wetlands and salt marshes 2. Combined sewer overflows may increase from more intense precipitation n. _____
	Medium	<ul style="list-style-type: none"> 1. Increased wildfires from warmer summers may lead to soil erosion 2. Warmer winters may lead species that once migrated through to stop and stay n. _____	<ul style="list-style-type: none"> 1. Parasites and bacteria may have greater abundance, survival or transmission due to warmer water 2. Warmer summers may drive greater water demand n. _____	<ul style="list-style-type: none"> 1. More frequent drought may diminish freshwater flow in streams 2. More intense precipitation may cause more flooding n. _____
	Low	<ul style="list-style-type: none"> 1. Warmer water may lead open seasons and fish to be misaligned 2. Warmer winters may lead to more freeze/thaw cycles that impact water infrastructure n. _____	<ul style="list-style-type: none"> 1. Warmer water may lead jellyfish to be more common 2. Ocean acidification may cause the recreational shellfish harvest to be lost n. _____	<ul style="list-style-type: none"> 1. Contaminated sites may flood from sea level rise 2. Warmer water may promote invasive species n. _____
		Low	Medium	High
		Consequence of impact		

5. REVIEW COMMUNITY CAPABILITIES

Preparatory for developing the action plan

In this step, examine community abilities, resources, opportunities, and constraints to address risks

- Consider assets and liabilities of all partners
- What opportunities exist to remove barriers – are they feasible?
- What is the community/institutional support to invest efforts in addressing risks?
- Consider partners across the community

OPPORTUNITIES/BARRIERS

Environmental

Planning and Regulatory

Administrative and Technical

Human Resources

Economic and Financial

Education and Outreach

Political Leadership

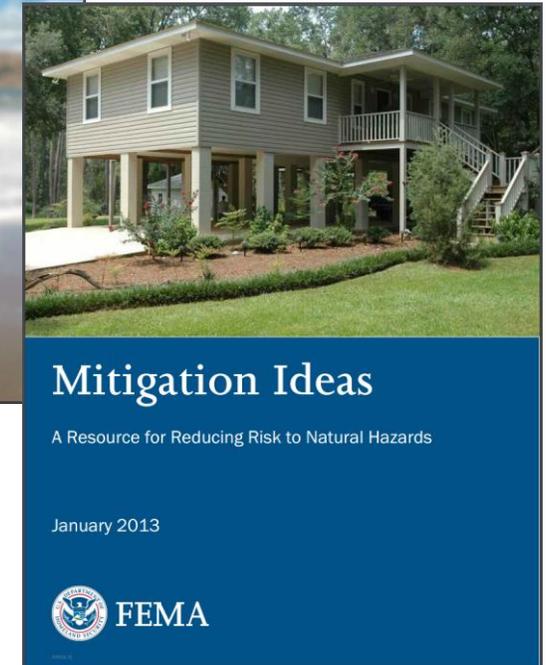
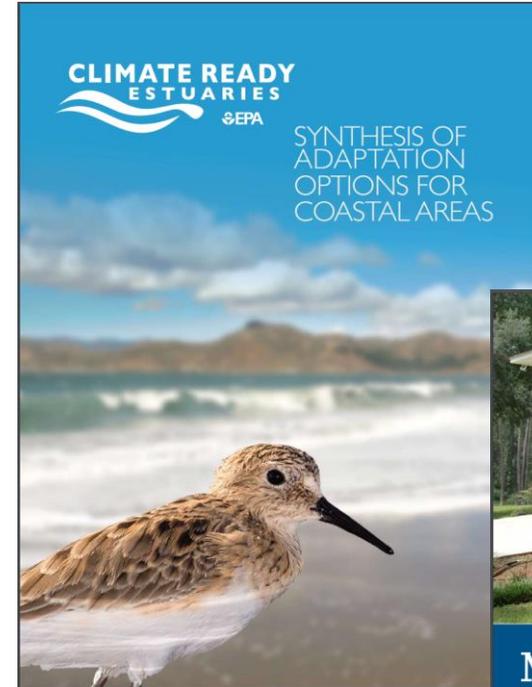
Social/Cultural

6. RISK PRIORITIZATION

Process to identify which risks will be the primary focus of the plan

Begin to research and understand range of potential mitigation actions for each risk

- Not all risks can be addressed
- Investigating feasible mitigation options can be resource intensive
- Limited resources should be allocated to highest priority risks



**HOW RISKS ARE APPROACHED DETERMINES WHERE ADAPTAION
ACTIONS ARE FOCUSED GOING FORWARD**

MITIGATE

ACCEPT

TRANSFER

AVOID

MITIGATE

Mitigating a risk involves taking actions to reduce the likelihood and/or consequence of the threat to your goals.

Involves a commitment of organizational/community resources to address the risk, or lead the effort to address the risk.

TRANSFER

Transferring delegates responsibility of addressing a risk to another organization.

Other organizations need to affirm their commitment to mitigating the risk; otherwise the risk will still exist.

What you cannot transfer and do not want to accept or avoid, you must mitigate yourself.

ACCEPT

Accepting a risk means that your organization will continue with business as usual and run the risk, dealing with the impact if/when it does occur.

If you don't make a choice to use any other approach, then by default you have elected to accept a risk. You are running the risk.

The farther out a risk is on the time horizon, the better the accept approach becomes.

- **Green Risks** in the C/P Matrix are good candidates for the accept approach.
- **Yellow Risks** that are decades away are candidates for acceptance.
- **Red Risks** are bad candidates for acceptance.

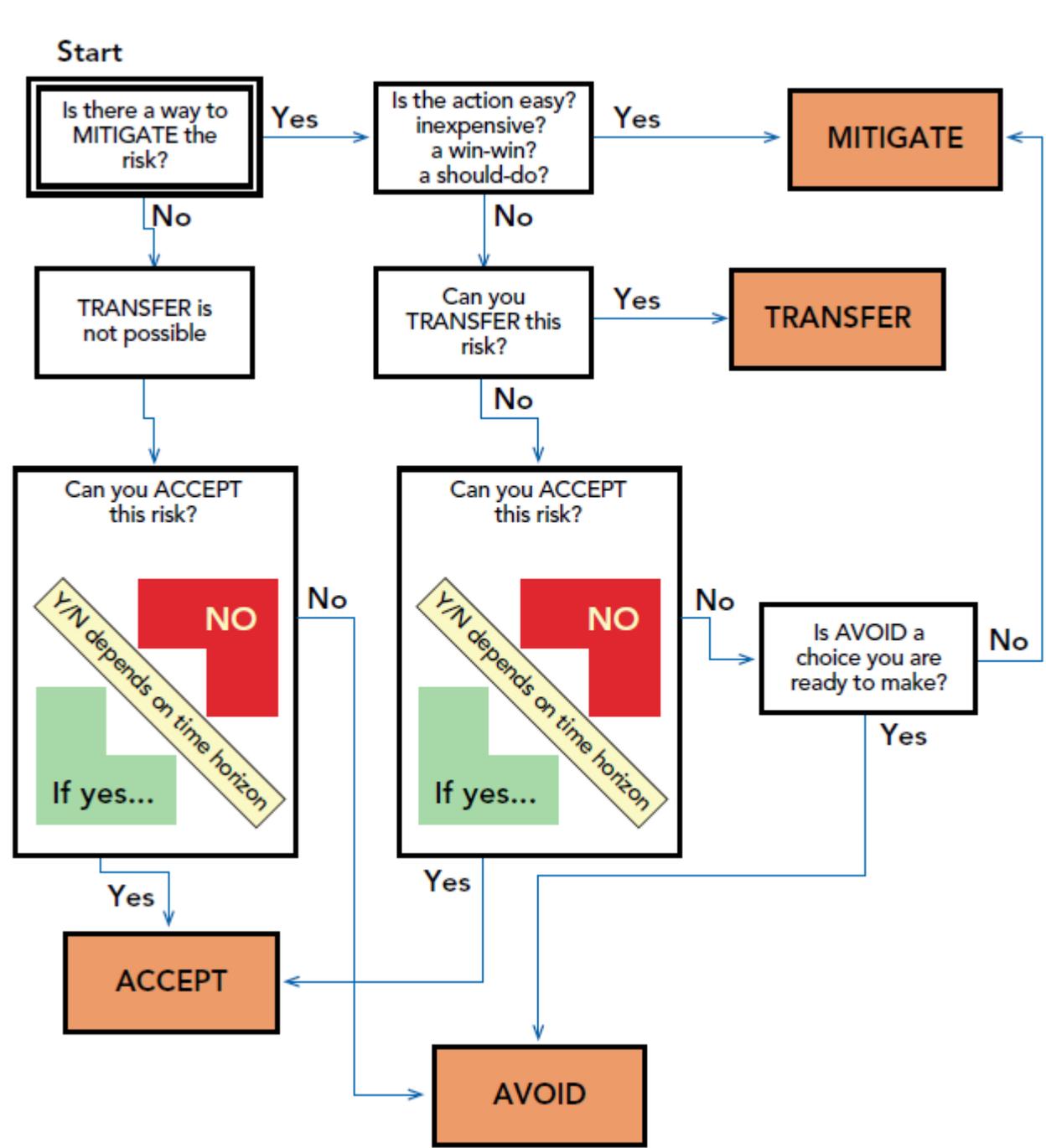
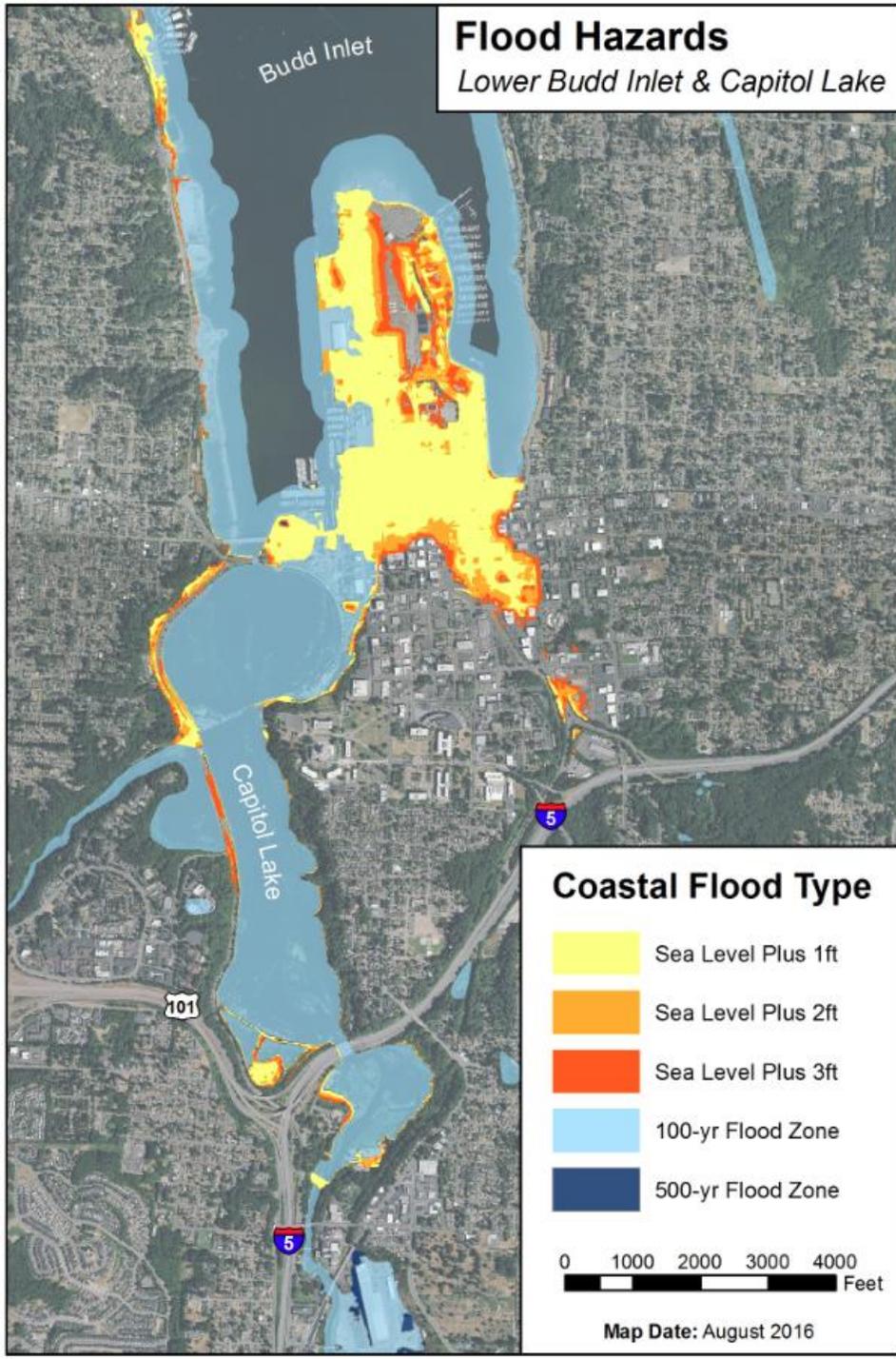
AVOID

Avoiding a risk requires a shift in an organization's operations or goals so that you are no longer exposed to that risk.

Avoiding a risk may be a radical move for an organization: you will be pulling back from work that you thought was important, but it will free up resources to focus on other priorities.

Reserve this approach for risks that will not be mitigated (by you or others) and where accepting the risk is a bad proposition.

Key decision makers should approve this change in course.



TRANSFER ACCEPT AVOID

Risks that are not actively mitigated will be documented. They should be periodically reviewed and evaluated as new information becomes available or conditions change.

A different risk management approach such as mitigation may be warranted as community capabilities or priorities change.

PRIORITY RISKS FOR MITIGATION

NEXT STEPS

IDENTIFY ADAPTATION ACTIONS

Look at the risks selected for mitigation and consider a plausible range of actions that could be effective at reducing their consequence or likelihood

SELECT ADAPTATION ACTIONS

Screen potential actions to determine their feasibility and select those that are best suited to reducing the risks and meeting the community's goals

PREPARING AND IMPLEMENTING AN ACTION PLAN